#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

KENNETH D. R. SETCHELL et al. : Confirmation No.: 1706

Serial No.: 10/625,989 : Group Art Unit: 1614

Filed: 07/24/2003 :

COMPOSITIONS AND PRODUCTS CONTAINING R-EQUOL, AND METHODS FOR THEIR MAKING

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.56, 1.97 and 1.98

### **VIA EFS WEB**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

Pursuant to 37 CFR §§1.56, 1.97 and 1.98, Applicant request the Examiner to make of record the documents listed on the attached PTO/SB/08 form in connection with examination of the above-identified patent application. As provided in §1.97(g), no representation is made or intended that a thorough art search was made. As provided in 37 C.F.R. §1.97(h), this Information Disclosure Statement does not constitute an admission of any kind, and specifically is not an admission that the documents listed on attached form PTO/SB08 are, or are considered to be, material to the patentability of the above-identified patent application, as defined in 37 C.F.R. §1.56(b). In accordance with 37 C.F.R. §1.98(a)(2), Applicants is submitting a copy of the foreign patent document.

This information disclosure statement is being submitted under 37 C.F.R. §1.97(c)(1). The information contained in this information disclosure statement was first cited on August 16, 2006 in a Supplemental European Search Report (attached) in a communication in a counterpart foreign application not more than three months prior to this filing. Therefore, no fee is believed to be due.

The non-U.S. patent publications cited in the Supplemental European Search Report had previously been cited by Applicant in an earlier IDS.

This submission does not represent that a search has been made or that no closer art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully submitted,

For: Kenneth D. R. SETCHELL et al.

 $\mathbf{B}\mathbf{v}$ 

Daniel F. Nesbitt Attorney for Applicant

Registration No. 33,746

(513) 229-0383

Customer Number 38155

October 3, 2006

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1	o.e	1

Sheet

Complete if Known				
Application Number	10/625,989			
Filing Date	07/24/2003			
First Named Inventor	Kenneth D. R. SETCHELL et al.			
Art Unit	1614			
Examiner Name				
Attorney Docket Number	CHM-013M2			

		U.S	S. PATENT DO	CUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
					, garas, speak

			FOREIG	N PATENT	DOCUMENTS		
Examiner Cite No.1	Cite	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		<del>-</del>	Le				
				1			
			·····				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) volume-issue number(s), publisher, city and/or country where published.	T2
		ALDA, J. et al., Purification and Chemical Characterization of a Potent Inhibitor of the Na-K-CI Cotransport System in Rat Urine, <i>Biochemical and Biophysical Research Communications (1996)</i> , Vol 221, pp 279-285	

Signature Considered	Examiner Signature		Date Considered	
----------------------	-----------------------	--	--------------------	--